

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		Attorney Docket No. 8060-1009 U.S. Application No. <b>10/509244</b>
INTERNATIONAL APPLN. NO. <b>PCT/JP03/03439</b>	INTERNATIONAL FILING DATE <b>March 20, 2003</b>	PRIORITY DATE CLAIMED <b>March 28, 2002</b>
TITLE OF INVENTION: PERPENDICULAR RECORDING MEDIUM, MAGNETIC RECORDER HAVING THE SAME, THE METHOD AND APPARATUS FOR PRODUCING THE PERPENDICULAR RECORDING MEDIUM		
APPLICANT(S) FOR DO/EO/US: <b>Migaku TAKAHASHI, Shin SAITO and David DJAYAPRAWIRA</b>		
Applicant herewith submits to the United States Designated Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li><input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))             <ol style="list-style-type: none"> <li><input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li><input checked="" type="checkbox"/> has been communicated by the International Bureau. See attached PCT/IB/308.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2))             <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> is attached hereto.</li> <li><input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li><input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))             <ol style="list-style-type: none"> <li><input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li><input type="checkbox"/> have been communicated by the International Bureau.</li> <li><input type="checkbox"/> have not been made, however, the time limit for making such amendments has NOT expired.</li> <li><input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</li> <li><input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) or a Declaration of Inventorship for purposes of U.S.A. designation pursuant to rule 4.17(iv).</li> <li><input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
<b>Items 11 to 20 below concern document(s) or information included:</b> <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> Information Disclosure Statement (IDS) w/PTO-1449 - <input type="checkbox"/> Copy of IDS citations.</li> <li><input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s)).</li> <li><input checked="" type="checkbox"/> A preliminary amendment.</li> <li><input checked="" type="checkbox"/> An Application Data Sheet under 37 C.F.R. 1.76.</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A change of power of attorney and/or change of address letter.</li> <li><input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</li> <li><input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li><input type="checkbox"/> A second copy of the English language translation of the international application (35 U.S.C. 154(d)(4)).</li> <li><input checked="" type="checkbox"/> Other items or information: <u>Please note that the Declaration of Inventorship for purposes of the U.S.A. designation pursuant to rule 4.17 (iv) was filed in the International Phase, see the published application. International Search Report</u></li> </ol>		

U.S. APPLICATION NO. <b>107509244</b>	INTERNATIONAL APPLN. NO. PCT/JP03/03439	ATTORNEY DOCKET NO. 8060-1009
21. <input checked="" type="checkbox"/> The following fees are submitted:		<b>CALCULATIONS PTO USE ONLY</b>
<b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1)-(5)):</b>		
Neither international preliminary examination fee nor international search fee paid to USPTO and international Search Report not prepared by the EPO or JPO ..... <b>\$1080.00</b>		
International preliminary examination fee not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$920.00</b>		
International preliminary examination fee not paid to USPTO but International search fee paid to USPTO ..... <b>\$770.00</b>		
International preliminary examination fee paid to USPTO but all claims did not satisfy provision of PCT Article 33 (1)-(4)..... <b>\$730.00</b>		
International preliminary examination fee paid to USPTO and all claims satisfied provision of PCT Article 33 (1)-(4)..... <b>\$100.00</b>		
<b>ENTER APPROPRIATE BASIC FEE AMOUNT</b>		
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20- <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e))		
CLAIMS	NUMBER FILED	NUMBER EXTRA
Total Claims	10 - 20 =	0
Independent Claims	3 - 3 =	0
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ <b>\$290.00</b>
<b>TOTAL OF ABOVE CALCULATION =</b> <b>\$ 920.00</b>		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by $\frac{1}{2}$ .		
<b>SUBTOTAL =</b> <b>\$ 920.00</b>		
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		
<b>TOTAL NATIONAL FEE =</b> <b>\$ 920.00</b>		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) <b>\$40.00</b> per property +		
<b>TOTAL FEES ENCLOSED =</b> <b>\$ 920.00</b>		
		<b>Amount to be refunded:</b> <b>\$</b>
		<b>Charged:</b> <b>\$</b>
<input checked="" type="checkbox"/> A check in the amount of <b><u>\$920.00</u></b> to cover the above fees is attached. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to Deposit Account No. 25-0120 in the name of Young & Thompson. A duplicate copy of this sheet is enclosed. <input checked="" type="checkbox"/> The Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17.		
SEND ALL CORRESPONDENCE TO: YOUNG & THOMPSON 745 South 23 <sup>rd</sup> Street Arlington, VA 22202 Telephone (703) 521-2297 Y&T Customer No. <b>00466</b> BC/lmt		 <b>SIGNATURE</b> Benoit Castel, Reg. No. 35,041 <b>NAME, REGISTRATION NUMBER</b> September 28, 2004 <b>DATE</b>